

POWERBOX Do we really need a new safety standard? White paper 020



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Introduction

Safety regulations have been in place for decades in the power industry and as we know only too well, have been through lots of revisions. And although each revision has made them more stringent and tailored to our businesses, frustratingly we have become familiar with designing products to conform to latest versions. So why do safety authorities change things that have been in place for so many years?

This is a question we hear so many times from power designers and without knowing the history and background it would be difficult to understand the motivation for IEC/EN 62368-1. Indeed, the transition from a well-established 1952 standard to something fundamentally different requires some explanation, which this article is about.

On the road to 2020-20-12

On December 20, 2020 the safety standard IEC/EN 62368-1 for High Technology Products will become applicable in Europe and U.S.A, and will supersede the well-established IEC/EN 60065 and IEC/EN 60950. The empowerment of IEC/EN 62368-1 is an important step forwards, principally aiming to make audio/video, information and communication technology products safer but in fact its implications within the electronics industry, and the range of products concerned goes far beyond the defined segment.

It seems December 20, 2020 is a long ways off, however if we consider the time it will take to: Identify legacy products and/or components to transition from IEC/EN 60065/60950 to IEC/EN 62368-1; identify and mitigate any potential non-compliance issues and how to solve them; and to learn about the new standard and how it's going to affect new designs and change our way of working, there is no time to lose!

Could you believe everything started more than 20 years ago?

With the rapid development of new technologies in telecommunications, more than 20 years ago safety and standardization experts considered that the growing number of equipment incorporating communications accessible to professional and non-professional users might require specific safety standards for what will become the so called Information and Communication Technologies (ICT).

In the late nineties, the old 1952 IEC/EN 60065 standard governing audio/video equipment went through six revisions and despite the new IEC/EN 60950 governing safety in IT equipment being released in October 2001, when taking into consideration technology roadmaps presented by the ICT leaders (e.g. Ericsson, Cisco, Nokia), the safety and regulatory experts realized that when billions of connected devices are released to the market, IEC/EN 60065 and IEC/EN 60950 might not be sufficiently relevant without mentioning applications that in those days were more science fiction than reality.

In order to prepare for the massive flow of new devices and applications, in 2001 the International Electrotechnical Commission (IEC) merged two technical committees, the TC74 (data processing equipment and office machines) and the TC92 (electronic equipment for household and similar equipment), forming the technical Committee 108 (TC108) responsible for the safety of electronic equipment within the field of audio/video, information and communication technology (Figure 01).

TC 108 was the formal starting point of a project to develop a new standard suitable for the changing telecommunications industry and collaterals. A massive amount of work took place drafting the foundations of what in June 2010 materialized as the first edition of IEC/ EN 62368-1.



Figure 01 - IEC/EN 62368-1 is not a merge of the two standards IEC/EN 60065 and IEC/EN 60950 but a completely new one introducing a new way of working.

What is new in 62368-1?

First of all, it is important to understand that IEC/EN 62368-1 is not a merger of the two standards IEC/EN 60065 and IEC/EN 60950, but a completely new one introducing a new way of working.

Taking into consideration that the wave of new products in the areas of audio/video, ICT and collateral will be used by a large number of professional and non-professional users, but also that a number of new applications including communications outside the scope of the existing IEC/EN 60065 and IEC/EN 60950 might not be covered by those standards or require a complex revision of them, the technical committee (TC 108) adopted a fundamental different approach.

Instead of developing a new 'restrictive and directive' standard they decided to develop a new one based on the best practices already defined by the European Computer Manufacturers Association (ECMA) in ECMA-287.

ECMA-287 is a safety standard for electronic equipment developed in the late nineties to respond to new market conditions in that business segment. The opposite of what we are used to, ECMA-287 is a hazard-based engineering standard that defines a hazard as an energy source that exceeds the limits of the human body's susceptibility to harm.

The first edition was released in 1999, followed in December 2002 by the second edition. The final draft of the second edition has been used by the IEC TC108 Hazard Based Development Team as the master reference when developing IEC/EN 62368-1.

Incident-Based vs. Hazard-Based

Historically, safety standards follow a set of rules and criteria developed around an Incident-Based methodology. This methodology has been practiced for many years with the principle of using the inversion of bad experiences, analysis of historically based incidents, and prescribing limited acceptable constructional methods for a specific business segment and range of applications.

Looking back, many safety standards revisions have been related to incidents with the potential to cause damage, and revision after revision set the rules for specific business segments. It worked well when the target segment was stable, but not so in the case of rapid evolution and new applications outside the original scope. IEC/EN 60950 and IEC/EN 60065 are very direct in how to design products, but slow to update for the regulators and costly for companies to requalify products.

Also, a standard with too narrow a scope is limiting and restricting its application which, if we consider the speed of introduction of new technologies and connected devices released every year, makes it almost impossible for the regulatory to align standards. It may be anecdotal, but how do you certify safety for an immersed power supply powering servers in mass datacenters, which is an ICT equipment not even considered possible a few years ago?

It is obvious that in the new market conditions, incidentbase standards have reached their limits and that was the reason for the TC-108 to consider a new way of working. Hazard-Based Safety Engineering (HBSE) has been used for more than 25 years in other industries, and used by the regulatory agencies as a reference when elaborating safety standards. HBSE is a methodology that defines a hazard as an energy source that exceeds the limits of the body's susceptibility to injury, defining a number of processes to guarantee users' safety.

Based on the expertise and implementation of ECMA-287 to electronic equipment, IEC/EN 62368-1 extended the standard to cover a wider category of equipment and applications which due to time constraints were not covered by ECMA-287 Revision 2.

Moving from incident-based to hazard-based safety standards is a journey, and if for medical power supply designers used to IEC 60601-1 and risk assessment methodology it is a relatively simple one, for many others it will mean learning a new way of working.

Define hazards before anything else

The Hazard-Based Safety Engineering (HBSE) principle integrates safety in the very early stages of the product design cycle, so that subsequent product design eliminates them (figure 02). To do that, designers use best practice engineering supported by research and field data, including the risk of injuries, relevant equipment standards and pilot documents.

What is new for many is that HBSE not only covers electrical shock as it was in previous standards but many other potential hazards and energy sources. To simplify, the HBSE principle can be simplified in three bullet points:



Figure 02 – The Hazard-Based Safety Engineering (HBSE) principles integrates safety in the very early stage in the product design cycle, so that subsequent product design eliminates them.

- → All potential hazards capable of causing pain or damage to users are taken into account. Such dangers could be for example, electrical shock energy, mechanical energy, electrically caused fire, chemical energy, thermal energy and radiation energy (including acoustic or optical energy).
- → Proper safeguard schemes for hazard prevention are applied.
- \rightarrow The effectiveness of these schemes is measured.

During the design process, when an energy source and a hazard is identified, the designers will have to consider how that energy could be transferred to a user and what would be the level of risk of injury. IEC/EN 62368-1 classifies three levels of energy sources, from not painful to injury (figure 03). As it is defined in the standard, the three categories apply to the effect on the user (body) and effect on combustible materials. Once that is done, designers will have to guarantee proper safeguards and to measure their effectiveness (Figure 02).

New terminologies and approach

Moving from incident-based to hazard-based methodology necessitates the need to understand HBSE nomenclature and best practices. As previously mentioned, new terminologies have been introduced in IEC/EN 62368-1 such as 'Energy Sources' and 'Safeguards'. Simplification has also been introduced e.g. Safety Extra Low Voltage (SELV) and Limited Current Circuits (LCC) referred to in 60950-1 have been

Energy source	Effect on body	Effect on combustible materials
Class 1	Not painful but may be detected	Ignition not likely
Class 2	Maybe painful but not an injury	Ignition possible but limited growth and spread fire
Class 3	Injury	Ignition likely with rapid growth and spread of fire

Figure 03 – Three classes of energy sources from not painful to injury

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combined, now falling under Energy Source Class 1, which is the level ordinary persons are allowed to access.

In the case of any hazard that may affect the user and/ or operator, Class 2 and class 3 safeguards must be interposed between the energy source and the body.

Understanding the terminology is key to the learning process of IEC/EN 62368-1.

What about new applications?

Since its first version, IEC/EN 62368-1 has been through three revisions, the latest one (Rev. 3) being in October 2018. The third edition took into consideration a large range of new applications, including the anecdotal immersed power supplies for mass datacenters mentioned earlier.

Five new application areas have been added. These are outdoor equipment, insulating liquids, work cells, wireless power transmitters, and fully isolated winding wire (FIW). As well, there are three new requirements for other areas, these are in optical radiation, an alternative method for the determination of top, bottom and side openings for fire enclosures, and alternative requirements for sound pressure.

Considering the case of a power supply immersed in cooling liquid used to power a cloud mass-data server (Figure 04), the power supply is tested according to IEC/ EN 62368-1, ensuring that it works safely (no risk of injury for user) but also that its insulation shouldn't deteriorate during its lifetime. In this case the cooling liquid, which is non-flammable and exhibits a very high electrical impedance is part of the safeguard, was definitely not included in previous safety standards.

More freedom for power designers

The anecdotal immersed power supply is a good example to illustrate the flexibility of the HBSE methodology and the possibility to cover much more than a specific product. EN 62368-1 is definitely a technology-independent safety standard, introducing greater level of freedom for power designers, opening doors for lots of innovation.



Figure 04 – Designed to power immerged applications, the PRBX OFI600A-12 includes the cooling liquid as one of the safety parameter included in the safeguard.

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About Powerbox

Founded in 1974, with headquarters in Sweden and operations in 15 countries across four continents, Powerbox serves customers all around the globe. The company focuses on four major markets - industrial, medical, transportation/railway and defense - for which it designs and markets premium quality power conversion systems for demanding applications. Powerbox's mission is to use its expertise to increase customers' competitiveness by meeting all of their power needs. Every aspect of the company's business is focused on that goal, from the design of advanced components that go into products, through to high levels of customer service. Powerbox is recognized for technical innovations that reduce energy consumption and its ability to manage full product lifecycles while minimizing environmental impact. Powerbox a Cosel Group Company.



Patrick Le Fèvre Powerbox Chief Marketing & Communication Officer

About the author

Chief Marketing and Communications Officer for Powerbox, Patrick Le Fèvre is an experienced, senior marketer and degree-qualified engineer with a 25-year track record of success in power electronics. He has pioneered the marketing of new technologies such as digital power and technical initiatives to reduce energy consumption. Le Fèvre has written and presented

numerous white papers and articles at the world's leading international power electronics conferences. These have been published over 210 times in media throughout the world. He is also involved in several environmental forums, sharing his expertise and knowledge of clean energy.

For more information

Visit www.prbx.com Please contact Patrick Le Fèvre, CMCO +46 (0)158 703 00

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